

# NOTES ON LAMBDA CALCULUS

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These notes were last updated September 17, 2018. They are notes taken from my reading of *Haskell Programming from First Principles* by Chris Allen, Julie Moronuki. I plan on expanding these notes further by reading the following at some unspecified time in the future:

- A tutorial introduction to the Lambda Calculus by *Raúl Rojas*.
- An algorithm for optimal lambda calculus reduction by *John Lamping*.
- Introduction to Lambda Calculus by *Henk Barendregt* and *Erik Barendsen*.
- Proofs and Types by *Jean-Yves Girard*, *Paul Taylor* and *Yves Lafont*.

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## 1. BASICS AND DEFINITIONS

- (1) Lambda calculus has been called the *smallest universal programming language of the world*. It consists of a single transformation rule (variable substitution) and a single function definition scheme.
- (2) Lambda calculus is universal in that any computable function can be expressed and evaluated using this formalism. It is equivalent to Turing machines.
- (3) Lambda calculus has three basic components or *lambda terms* – expressions, variables and abstractions.
- (4) *Expressions* are variable names, abstractions, or combinations of other expression. *Variables* have no meaning or value, they are only names for potential inputs to functions. An *abstraction* is a function – it is a lambda term that has a head (a lambda) and a body and is applied to an argument. An *argument* is an input value.
- (5) Expressions can be defined recursively as —

$$\begin{aligned} \langle \text{expression} \rangle &:= \langle \text{name} \rangle \mid \langle \text{function} \rangle \mid \langle \text{application} \rangle \\ \langle \text{function} \rangle &:= \lambda \langle \text{name} \rangle . \langle \text{expression} \rangle \\ \langle \text{application} \rangle &:= \langle \text{expression} \rangle \langle \text{expression} \rangle \end{aligned}$$

- (6) Abstractions have two parts – a *head* and a *body*. The head of the function is a  $\lambda$  followed by a variable name. The body of the function is another expression. For example:  $\lambda x..x^2$   
Lambda abstractions are anonymous functions.
- (7) The variable named in the head is the *parameter* and *binds* all instances of that same variable in the body of the function. The dot (.) separates the parameters of the lambda from the function body.

## 2. EQUIVALENCES AND REDUCTIONS

- (1) *Alpha equivalence* states that  $\lambda x..x$  is the same as  $\lambda y..y$ , that is, the variables  $x$  and  $y$  are not semantically meaningful except in their role in their single expressions.
- (2) *Beta reduction*: when applying a function to an argument, substitute the input expression for all instances of bound variables within the body of the abstraction.

$$(\lambda x..xx)3 = xx[x := 3] = 3\ 3$$

Hence, Beta reduction is the process of applying a lambda term to an argument, replacing the bound variables with the value of the argument, and eliminating the head.

$$\begin{aligned} (\lambda x..x)\lambda y..y &= x[x := (\lambda y..y)] \\ &= \lambda y..y \end{aligned}$$

- (3) Another notation for beta reduction:

$$(\lambda x..x)y = [y/x]x = y$$

- (4) Application in lambda calculus is left-associative.

$$\begin{aligned} (\lambda x..x)(\lambda y..y)z &= ((\lambda x..x)(\lambda y..y))z && \text{left-associativity} \\ &= (x[x := \lambda y..y])z && \text{beta reduction step 1} \\ &= (\lambda y..y)z && \text{beta reduction step 2} \\ &= y[y := z] && \text{beta reduction step 1} \\ &= z && \text{beta reduction step 2} \end{aligned}$$

- (5) Variables in the body that are not bound by the head are called *free variables*. For example,  $y$  is a free variable in the expression  $\lambda x..xy$

$$(\lambda x..xy)z = xy[x := z] = zy$$

- (6) Formally a variable  $\langle \text{name} \rangle$  is free in an expression if one of the following three cases hold:
- $\langle \text{name} \rangle$  is free in  $\langle \text{name} \rangle$
  - $\langle \text{name} \rangle$  is free in  $\lambda \langle \text{name}_1 \rangle . \langle \text{exp} \rangle$ , such that  $\langle \text{name} \rangle \neq \langle \text{name}_1 \rangle$  and  $\langle \text{name} \rangle$  is free in  $\langle \text{exp} \rangle$ .
  - $\langle \text{name} \rangle$  is free in  $E_1 E_2$  if  $\langle \text{name} \rangle$  is free in  $E_1$  or it is free in  $E_2$ .
- (7) Similarly, a variable  $\langle \text{name} \rangle$  is bound if one of two cases hold:
- $\langle \text{name} \rangle$  is bound in  $\lambda \langle \text{name}_1 \rangle . \langle \text{exp} \rangle$ , such that  $\langle \text{name} \rangle = \langle \text{name}_1 \rangle$  or  $\langle \text{name} \rangle$  is bound in  $\langle \text{exp} \rangle$ .
  - $\langle \text{name} \rangle$  is bound in  $E_1 E_2$  if  $\langle \text{name} \rangle$  is bound in  $E_1$  or if it is bound in  $E_2$ .
- (8) The same identifier can occur free and bound in the same expression. For example,  $y$  is both free and bound in the expression  $(\lambda x..xy)(\lambda y..y)$ .
- (9) The alpha equivalence does not apply to free variables.

- (10) *Currying*: named after Haskell Curry is the shorthand notation of the type  $\lambda xy..xy$  for multiple lambda functions  $\lambda x.(\lambda y.xy)$ .

$$\begin{aligned}\lambda xy.xy\ 1\ 2 &= \lambda x.(\lambda y.xy)\ 1\ 2 \\ &= (\lambda y.xy)[x := 1]\ 2 \\ &= (\lambda y.1y)\ 2 \\ &= (1y)\ [y := 2] \\ &= 1\ 2\end{aligned}$$

or by using currying we perform the same calculation in fewer steps,

$$\begin{aligned}\lambda xy.xy\ 1\ 2 &= (\lambda y.xy)[x := 1]\ 2 \\ &= (\lambda y.1y)2 \\ &= (1y)[y := 2] \\ &= 1\ 2\end{aligned}$$

- (11) A lambda term is in *beta normal form* when one cannot beta reduce (apply lambdas to arguments) its expressions any further. This corresponds to a fully evaluated function or fully executed program. The identity function  $\lambda x.x$  is in normal form.
- (12) A *combinator* is a lambda term with no free variables. Combinators serve only to combine the arguments that are given. The following are combinators:  $\lambda x.x$ ,  $\lambda xy.x$ ,  $\lambda xyz.xz(yz)$  and the following are not:  $\lambda y.x$ ,  $\lambda x.xz$ . The point of combinators is that they can only combine the arguments they are given, without injecting any new values or random data.
- (13) A lambda term whose beta reduction never terminates is said to *diverge*. The lambda term *omega* defined as  $(\lambda x.xx)(\lambda x.xx)$  diverges because

$$(\lambda x.xx)(\lambda x.xx) = (\lambda x.xx)(\lambda y.yy) = xx[x := \lambda y.yy] = (\lambda y.yy)(\lambda y.yy).$$

## 3. EXAMPLES

$$\begin{aligned}
& (\lambda xy.xy)(\lambda z.a) 1 \\
& = (\lambda y.(\lambda z.a)y)1 \\
& = (\lambda z.a)1 \\
& = a
\end{aligned}$$

$$\begin{aligned}
& (\lambda xyz.xz(yz))(\lambda x.z)(\lambda x.a) \\
& = (\lambda xyb.xb(yb))(\lambda c.z)(\lambda d.a) \\
& = (\lambda yb.(\lambda c.z)b(yb))(\lambda d.a) \\
& = \lambda b.(\lambda c.z)b((\lambda d.a)b) \\
& = \lambda b.z((\lambda d.a)b) \\
& = \lambda b.za
\end{aligned}$$

$$\begin{aligned}
& (\lambda y.y)(\lambda x.xx)(\lambda z.zq) \\
& = (\lambda x.xx)(\lambda z.zq) \\
& = (\lambda z.zq)(\lambda z.zq) \\
& = (\lambda z.zq)(\lambda x.xq) \\
& = (\lambda x.xq)q \\
& = qq
\end{aligned}$$

$$\begin{aligned}
& (\lambda a.aa)(\lambda b.ba)c \\
& = (\lambda d.dd)(\lambda b.ba)c \\
& = (\lambda b.ba)(\lambda b.ba)c \\
& = (\lambda b.ba)(\lambda d.da)c \\
& = ((\lambda d.da)a)c \\
& = aac
\end{aligned}$$

$$\begin{aligned}
& (\lambda xyz.xz(yz))(\lambda mn.m)(\lambda p.p) \\
& = (\lambda yz.(\lambda mn.m)z(yz))(\lambda p.p) \\
& = \lambda z.(\lambda mn.m)z((\lambda p.p)z) \\
& = \lambda z.(\lambda n.z)((\lambda p.p)z) \\
& = \lambda z.z
\end{aligned}$$

$$\begin{aligned}
& (\lambda xy.xxy)(\lambda x.xy)(\lambda x.xz) \\
& = (\lambda xy.xxy)(\lambda a.ay)(\lambda b.bz) \\
& = (\lambda y.(\lambda a.ay)(\lambda c.cy)y)(\lambda b.bz) \\
& = (\lambda a.a(\lambda b.bz))(\lambda c.c(\lambda b.bz))(\lambda b.bz) \\
& = (\lambda a.a(\lambda b.bz))(\lambda c.c(\lambda d.dz))(\lambda e.ez) \\
& = ((\lambda c.c(\lambda d.dz))(\lambda b.bz))(\lambda e.ez) \\
& = ((\lambda b.bz)(\lambda d.dz))(\lambda e.ez) \\
& = ((\lambda d.dz)z)(\lambda e.ez) \\
& = (zz)(\lambda e.ez) \\
& = yy(\lambda b.bz)
\end{aligned}$$

$$\begin{aligned}
& (\lambda x.\lambda y.xyy)(\lambda a.a)b \\
& = (\lambda y.(\lambda a.a)yy)b \\
& = (\lambda a.a)bb \\
& = bb
\end{aligned}$$

$$\begin{aligned}
& (\lambda abc.cba)zz(\lambda wv.w) \\
& = (\lambda bc.cbz)z(\lambda wv.w) \\
& = (\lambda c.czz)(\lambda wv.w) \\
& = (\lambda wv.w)zz \\
& = (\lambda v.z)z \\
& = z
\end{aligned}$$